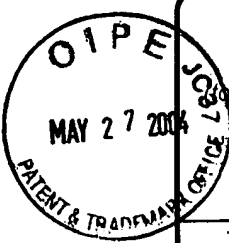


TFW



<b>TRANSMITTAL FORM</b> <i>to be used for all correspondence after initial filing)</i>		Application No.	10/777,556
		Filing Date	February 12, 2004
		First Named Inventor	Liew C. Chiu
		Art Unit	2882
		Examiner Name	Richard H. Kim
Total Number of Pages in This Submission	15	Attorney Docket Number	3918P017X2C3

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">         Notification of Error in Inventor Name and Transmittal of Supplemental Declaration       </div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>[Handwritten Signature]</i>
Date	May 24, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature	<i>[Handwritten Signature]</i>	Date	May 24, 2004



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number 10/777,556  
Filing Date February 12, 2004  
First Named Inventor Liew C. Chiu  
Examiner Name Richard H. Kim  
Art Unit 2882  
Attorney Docket No. 3918P017X2C3

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)

William E. Alford

Registration No.  
(Attorney/Agent)

37,764

Telephone

(714) 557-3800

Signature

Date

05/24/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/777,556  
Applicant : Liew C. Chiu  
Filed : 02/12/2004  
TC/A.U. : 2871  
Examiner : Kim, Richard H.  
Title : FIBER OPTIC MODULES WITH DE-LATCHING MECHANISM  
(As Amended)  
Docket No. : 3918P017X2C3  
Customer No. : 8791

**NOTIFICATION OF ERROR IN INVENTOR NAME**  
**AND**  
**TRANSMITTAL OF SUPPLEMENTAL DECLARATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It has recently come to Applicant's attention that there is an error in the typed name of inventor Tat Ming Teo on the declaration filed on 02/12/2004 in the above referenced continuation patent application. A copy of the declaration, which was also filed in parent Application No. 09/939,403, is attached hereto as Appendix I. The fifth inventor's name on the declaration is incorrectly typed as Tat T. Ming due to a transliteration error.

Tat Ming Teo is a Singapore inventor. In transliterating a foreign language name into an English language equivalent, Applicant's attorney erroneously transliterated the inventor's last name into a middle initial and a part of the given name into the family name.

Tat Ming Teo is a proper inventor and signed the declaration filed in the above referenced divisional patent application. He is included as a joint inventor. Thus, there is no need to add or delete an inventor under 37 CFR 1.48. "A request under 37 CFR 1.48 will not be required: ... (B) Where a typographical or transliteration error in the spelling of an inventor's name is discovered, the Office should simply be notified of the error. A new oath or declaration is not required. Reference to the Notification will be made on the previously filed oath or declaration." [MPEP § 201.03; 8<sup>th</sup> Edition, Rev. 1, Feb. 2003, pages 200-4 to 200-5, see also (E)].

Procedurally in the US Patent and Trademark Office, "[w]hen the Office is notified of a typographical or transliteration error in the spelling of an inventor's name, ... the change should be noted in red ink in the left margin of the original oath or declaration." [MPEP § 605.04(g); Original 8<sup>th</sup> Edition, Aug. 2001, page 600-47].

However, Applicant herewith transmits a supplemental declaration attached hereto as Appendix II. The supplemental declaration indicates the proper name of Tat Ming Teo which has initialed and dated by inventor Tat Ming Teo on May 06, 2004.

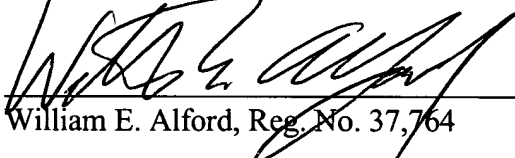
Applicant will also make a request to correct the inventor's name on the filing receipt by filing an updated Application Data Sheet in a further effort to correct the U.S. Patent and Trademark Office records.

The U.S. Patent and Trademark Office is hereby authorized in the connection with the filing of this notice to charge Deposit Account 02-2666 should there be any fee due. A duplicate fee transmittal is enclosed for this purpose. If any petition is required for the filing of this notice, it is hereby so petitioned, and should any petition fee or additional fee be required in connection with the filing of this notice, please also charge it to Deposit Account 02-2666.

If there are any questions, Applicant's undersigned attorney may be reached by telephone at (714) 557-3800.

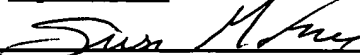
Respectfully submitted,

Dated: May 24, 2004

  
\_\_\_\_\_  
William E. Alford, Reg. No. 37,764

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on: May 24, 2004.*

  
\_\_\_\_\_  
Susan McFarlane

5/24/04  
Date

12400 Wilshire Boulevard,  
Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800